

Docket No. 694231/0006  
JJD/PI

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Thomas Joshua Shafron et al.

Group Art Unit: 2157

Application No.: 09/904,300

Examiner: LaShonda T. Jacobs

Filed: July 12, 2001

For: **METHOD AND SYSTEM FOR ENABLING A SCRIPT ON A FIRST COMPUTER TO COMMUNICATE AND EXCHANGE DATA WITH A SCRIPT ON A SECOND COMPUTER OVER A NETWORK**

May 4, 2004  
**RECEIVED**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

MAY 11 2004  
Technology Center 2100

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Forms PTO/SB/08a and 08b.

The applicants would like to bring to the Examiner's attention co-pending Patent Application Serial No. 09/916,543. Enclosed Patent Application Publication No. US 2003/0023754 A1 is the publication for Patent Application No. 09/916,543. In an abundance of caution, Applicants are also submitting two International Preliminary Examination Reports for PCT Patent Application No. PCT/US02/23639, which is the PCT counterpart to co-pending Patent Application 09/916,543.

05/10/2004 MBERHE 00000079 194709 09904300  
01 FC:1806 180.00 DA

It is respectfully requested that the Examiner consider the cited information and that a copy of the enclosed Forms PTO/SB/08a and 08b is returned indicating that such information has been considered.

This Information Disclosure Statement is being cited in accordance with 37 C.F.R. § 1.97(d). Authorization to charge the requisite fee under 37 C.F.R. 1.17(p)(\$180) is granted in the accompanying Fee Transmittal for FY 2004 provided herewith. In the event that any additional fee is required, however, the Commissioner is hereby authorized to charge the amount of such fee to the undersigned's Deposit Account No. 19-4709.

Favorable consideration and prompt allowance of this application is respectfully requested. In the event that there are any questions, or should additional information be required, please do not hesitate to contact Applicant's attorney at the number listed below.

Respectfully submitted,

  
For James J. DeCarlo  
Registration No. 36,120  
Attorney for Applicants  
Stroock & Stroock & Lavan LLP  
180 Maiden Lane  
New York, New York 10038  
212-806-5400



MAY 07 2004

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

### Sheet

1

of 2

**Complete if Known**

Application Number	<b>09/904,300</b>
Filing Date	<b>July 17, 2001</b>
First Named Inventor	<b>Thomas Joshua Shafron et al.</b>
Art Unit	<b>2157</b>
Examiner Name	<b>LaShonda T. Jacobs</b>
Attorney Docket Number	<b>694231/0006</b>

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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

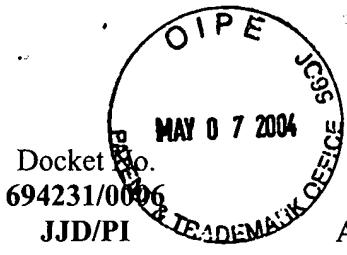
Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Docket No.  
694231/0006

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: **Thomas Joshua Shafron et al.** Group Art Unit: **2157**

Application No.: **09/904,300**

Examiner: **LaShonda T. Jacobs**

Filed: **July 12, 2001**

For: **METHOD AND SYSTEM FOR ENABLING A SCRIPT ON A FIRST COMPUTER TO COMMUNICATE AND EXCHANGE DATA WITH A SCRIPT ON A SECOND COMPUTER OVER A NETWORK**

Date: **May 4, 2004**

**CERTIFICATE OF MAILING**  
**BY "FIRST CLASS MAIL" (37 C.F.R. § 1.8)**

**Mail Stop Amendment**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

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**MAY 11 2004**

Sir:

**Technology Center 2100**

I hereby certify that the following correspondence:

**Amendment (40 pgs); Supplemental Information Disclosure Statement (2 pgs); Fee Transmittal for FY 2004 (1 pg) in duplicate; Forms PTO/SB/08a and 08b (2 pgs), one reference and two International Search Reports; and Return Postcard**

is being deposited on May 4, 2004 with the United States Postal Service as first class mail in an envelope bearing sufficient postage thereon and addressed to:

**Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450.**

**James F. Dobrow**

(Typed Or Printed Name Of Person Mailing Correspondence)

(Signature Of Person Mailing Correspondence)



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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

## Complete if Known

Application Number	09/904,300
Filing Date	July 12, 2001
First Named Inventor	Thomas Joshua Shafron et al.
Examiner Name	LaShonda T. Jacobs
Art Unit	2157
Attorney Docket No.	694231/0006

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number **19-4709**  
 Deposit Account Name **Stroock & Stroock & Lavan LLP**

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>		<b>(\$ 0.00)</b>	

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20** =	X 18 =	0
			- 3** =	X 86 =	0

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$ 0.00)</b>

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

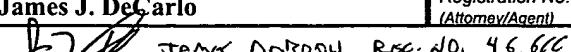
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180.00)

## SUBMITTED BY

Name (Print/Type) <b>James J. DeCarlo</b>	Registration No. (Attorney/Agent) <b>36,120</b>	Telephone <b>(212) 806-5400</b>
Signature 	REC. NO. 46,660	Date <b>May 4, 2004</b>

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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